PTO-1390

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER

8009-88070

9825<sup>37 CFR 1.5)</sup> To Be Assigned

INTERNATIONAL APPLICATION NO. PCT/EP2004/009380

INTERNATIONAL FILING DATE August 21, 2004

PRIORITY DATE CLAIMED September 11, 2003

TITLE OF INVENTION

METHOD FOR ENERGY MANAGEMENT

APPLICANT(S) FOR DO/EO/US

Ottmar GEHRING et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. ⊠
- 2. □ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- The US has been elected (Article 31). 4. ⊠
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - is attached hereto (required only if not communicated by the International Bureau).
  - has been communicated by the International Bureau. b. ⊠
  - is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - is attached hereto.
  - has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). **7**. □
  - are attached hereto (required only if not communicated by the International Bureau).
  - have been communicated by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired.
  - d. 😐 have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 😐
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. 😐
- 10. □ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 20. below concern document(s) or information included:

- 11. ⊠ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. 🛚 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ⊠ A preliminary amendment.
- 14. ⋈ An Application Data Sheet under 37 CFR 1.76.
- 15. □ A substitute specification.
- 16. □ A power of attorney and/or change of address letter.
- **17**. □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. □ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. 😐 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ⊠ Other items or information: return receipt postcard

U.S. APPLICATION NO. (ILA)						RNEY DOCKET NUMBER 8009-88070			
The following fees are					<u></u>	Calculations PTO use			PTO use only
21. Basic national fee (37 CFR 1.492(a))							\$	300.00	7.0 000 0,
22.   Examination fee (37 CFR 1.492(c))							<u> </u>	- 000.00	
_			he International Prelim	ninar	·v				
Examination Report prepared by IPEA/US indicates all claims satisfy									
provisions of PCT Article 33(1)-(4)									
All other situations							\$	200.00	
23. <u>B</u> Search fee (37 CFR 1.492(b))									
If the Written Opinion of the ISA/US or the International Preliminary									
Examination Report prepared by IPEA/US indicates all claims satisfy									
provisions of PCT Article 33(1)-(4)									
Search fee (37 CFR 1.445(a)(2) has been paid on the international							\$	400.00	
application to the USPTO as an International Searching Authority \$100.00									
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB . \$400.00									·
All other situations									
TOTAL OF 21, 22 and 23 =							\$	900.00	
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Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each									
	ts of paper or fraction			·					
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Total Sheets	Extra Sheets	or fraction thereof			RATE	RATE			
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).								\$	
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Total claims		- 20 =	0	×	\$50.0		\$	0.00	
Independent Claims	1	- 3 =	0	×	\$200.0		\$	0.00	
	<u> </u>		<u> </u>		\$360.		\$	0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00  TOTAL OF ABOVE CALCULATIONS =							\$	900.00	
□ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by half.						\$	0.00		
SUBTOTAL =						\$	900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months							<u> </u>	300.00	!
from the earliest claimed priority date (37 CFR 1.492(i)).							ŀ	\$	
TOTAL NATIONAL FEE =							\$	900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be									· · · · · · · · · · · · · · · · · · ·
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.								\$	
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must be filed and granted to restore the application to pending status.								21 h/	
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FITCH EVEN TARIN & FLANDERY							(	<del>//, // =</del>	7
FITCH, EVEN, TABIN & FLANNERY  1801 K Street NW, Suite 401L  Washington, DC 20006-1301 U.S.A.  February 28, 2006  DATE  Norman N. Ku						Y			
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Telephone: (202) 419-7000 20,586									
Facsimile: (202) 419-7007 REGISTRATION NUMBE						ER			